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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

APPLICANT: SAMUEL et al. GROUP ART UNIT: 2879  
 SERIAL NO.: 09/926,494 ATTY. DOCKET NO.: P67265US0  
 FILING DATE: November 13, 2001 TODAY'S DATE: April 19, 2002

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUB-<br>CLASS | FILING DATE<br>(If Appropriate) |
|----------------------|----|--------------------|------|------|-------|---------------|---------------------------------|
|                      | AA |                    |      |      |       |               |                                 |
|                      | AB |                    |      |      |       |               |                                 |
|                      | AC |                    |      |      |       |               |                                 |
|                      |    |                    |      |      |       |               |                                 |

**FOREIGN PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS | SUB-<br>CLASS | Translation |      |
|----------------------|----|--------------------|------|---------|-------|---------------|-------------|------|
|                      |    |                    |      |         |       |               | (Yes)       | (No) |
|                      | AD |                    |      |         |       |               |             |      |
|                      | AE |                    |      |         |       |               |             |      |

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

|           |    |   |
|-----------|----|---|
| <i>th</i> | AF | M.G. Salt et al., "Photonic band gaps in guided modes of textured metallic microcavities", Optics Communications, Vol. 166 (1-6), 22, February 1999, pp. 151-162, (Abstract Only) |
|           | AG |   |
|           | AH |   |
|           | AI |   |
|           | AJ |   |
|           | AK |   |

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|------------------------------|-------------------------------|
| EXAMINER <i>Holly Harper</i> | DATE CONSIDERED <i>5/8/03</i> |
|------------------------------|-------------------------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

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## LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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 SERIAL NO.: 09/926,494  
 FILING DATE: November 13, 2001

GROUP ART UNIT:  
 ATTY. DOCKET NO.: P67265US0  
 TODAY'S DATE: February 25, 2002

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE     | NAME            | CLASS | SUB-<br>CLASS | FILING DATE<br>(If Appropriate) |
|----------------------|----|--------------------|----------|-----------------|-------|---------------|---------------------------------|
| HC                   | AA | 3,739,217          | 06/12/73 | Bergh et al.    |       |               |                                 |
| HC                   | AB | 4,080,245          | 03/21/78 | Yamanaka et al. |       |               |                                 |
| HC                   | AC | 4,856,014          | 08/08/89 | Figueroa et al. |       |               |                                 |

## FOREIGN PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE     | COUNTRY | CLASS | SUB-<br>CLASS | Translation |      |
|----------------------|----|--------------------|----------|---------|-------|---------------|-------------|------|
|                      |    |                    |          |         |       |               | (Yes)       | (No) |
| HC                   | AD | 98/25313           | 06/11/98 | WIPO    |       |               |             |      |
| HC                   | AE | 98/25314           | 06/11/98 | WIPO    |       |               |             |      |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

|    |    |  |
|----|----|--|
| HC | AF | B. J. Matterson et al., "Effect of Lateral Microstructure on Conjugated Polymer Luminescence", International Conference On Science and Technology of Synthetic Metals, Montpellier, France, 12-18 July 1998, Vol. 101, No. 1-3, pages 250-251, XP-000931170. |
| HC | AG | Shanhui Fan et al., "high Extraction Efficiency of Spontaneous Emission from Slabs of Photonic Crystals", Physical Review Letters, 28 April 1997, Vol. 79, No. 17, pages 3294-3297, XP-000931171.  |
| HC | AH | R. Windisch et al., "Light-emitting diodes with 31% external quantum efficiency by outcoupling of lateral waveguide modes", Applied Physics Letters, 19 April 1999, Vol. 74, No. 16, pages 2256-2258, XP002145910.   |
| HC | AI | D.G. Lidzey et al., "Control of photoluminescence emission from a conjugated polymer using an optimised microcavity structure", Chemical Physics Letters 263, 20 December 1996, pages 655-660.   |
| HC | AJ | M.G. Salt et al., "Photonic band gaps in guided modes of textured metallic microcavities", Optics Communications, Vol. 166 (1-6), 22, February 1999, pp. 151-162, (Abstract Only).   |
| HC | AK | M. D. McGehee et al., "Semiconducting polymer distributed feedback lasers", Applied Physics Letters, 30 March 1998, Vol. 72, No. 13, pages 1536-1538.  |

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